

POLICY GUIDELINES AND REGULATORY FRAMEWORKS FOR THE CONSOLIDATED NATIONAL STRATEGY ON CONSERVATION OF AQUATIC BIODIVERSITY AND ENVIRONMENTAL MANAGEMENT IN UGANDA

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Requests for such permission should be addressed to:

The Director
African Union – Inter African Bureau for Animal Resources (AU-IBAR)
Kenindia Business Park, Museum Hill, Westlands Road
P.O. Box 30786-00100, Nairobi, KENYA
Or by e-mail to: ibar.office@au-ibar.org

Published by AU-IBAR, Nairobi, Kenya

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Acknowledgements: The Director of AU-IBAR wishes to acknowledge the consultancy services by Lake Victoria Fisheries Organization (LVFO) and Ms. Olyel Daisy Aciro LVFO Desk Officer/National Focal Point of the Republic of Uganda who developed these POLICY GUIDELINES AND REGULATORY FRAMEWORKS FOR THE CONSOLIDATED NATIONAL STRATEGY ON CONSERVATION OF AQUATIC BIODIVERSITY AND ENVIRONMENTAL MANAGEMENT IN REPUBLIC OF UGANDA. The Director also extends appreciation to the experts who contributed immensely to the identifications of the policy measures during the regional stakeholders' workshop

Special thanks go to the Swedish International Development cooperation Agency (SIDA) for the ongoing cooperation and the team at AU-IBAR for the editorial work. This work was done with financial support by the Government of Sweden, through the Embassy of Sweden to the African Union.

FORWARD

Transboundary freshwater basins are important to World's population supporting over 800 million people. The Lake Victoria basin that is shared between Kenya, Uganda and Tanzania and extending to Burundi & Rwanda is equally an important resource to the EAC. With a rapidly expanding population, and the poor state characteristic of transboundary water resources, there is urgent need to develop Policy Guidelines and Regulatory Frameworks for the consolidated National Strategy on conservation of aquatic biodiversity and environmental management if we are to continue delivering on food security but at the same time doing so in a manner that is sustainable and avoids damage to the environment and the wider biodiversity resources.

The Lake Victoria Region is blessed with an abundance of high-quality water resources that offer opportunities for socio-economic development but with declining resources, it is becoming a potential source of conflict among resource users. Transboundary management of water resources, therefore, requires coordination across different political, legal, institutional, and technical settings. The study assesses transboundary freshwater ecosystems in the Africa Region to identify critical aquatic environmental issues affecting biodiversity and presents a framework for management of transboundary freshwater aquatic ecosystems for conservation and joint action plans.

Recognizing the importance of Lake Victoria basin resources and the biodiversity and environmental management challenges, a study was conducted by AU-IBAR to generate a general overview of transboundary freshwater bodies in Africa to identify critical aquatic environmental issues affecting biodiversity. This follows on study conducted by a constituted task team from the Lake Victoria Region offered further impetus by reviewing relevant National Instruments to identify existing gaps among the broad range of key relevant Blue Economy line Ministries, Departments and Agencies i.e. water, petroleum (gas and oil), fisheries, aquaculture, wildlife, tourism among others. This aims at enhancing the Policy environment, Regulatory Framework and institutional capacities of AU Member States and Regional Economic Communities to sustainably utilize and conserve aquatic biodiversity and ecosystems.

This Policy Guidelines and Regulatory Frameworks for the consolidated National Strategy on conservation of aquatic biodiversity and environmental management identifies the critical transboundary issues in conservation of aquatic biodiversity and environmental management in Lake Vitoria, Challenges relating to the identified in conservation of aquatic biodiversity and proposes priority actions and Policy options for addressing the identified challenges.

The Directorate of Fisheries Resources appeals to all stakeholders to use the harmonized guidelines to ensure sustainable Conservation of Aquatic Biodiversity in African Blue Economy and in particular Lake Victoria Region

Mukasa Tom Bukenya

Ag. Director Fisheries Resources

TABLE OF CONTENTS

	FORWARD	ii
	ACRONYMS AND ABBREVIATIONS	٧
	EXECUTIVE SUMMARY	vi
	ACKNOWLEDGEMENT	vii
1.0	INTRODUCTION	ı
1.1	BACKGROUND	I
1.2	SECTOR CHALLENGES	2
1.3	ROLE AND RATIONALE	3
1.4	METHODOLOGY AND APPROACH - DEVELOPMENT PROCESSES OF THE STRATEGY	3
2.0	SITUATIONAL ANALYSIS	4
2.1	REVIEW OF EXISTING STRATEGIES	4
2.2	ANALYSIS OF ISSUES IN AQUATIC BIODIVERSITY CONSERVATION AND ENVIRONMENTAL MANAGEMENT	7
		,
3.0	NATIONAL STRATEGIC MODEL FOR AQUATIC BIODIVERSITY CONSERVATION AND	
	ENVIRONMENTAL MANAGEMENT IN SHARED FRESHWATER ECOSYSTEMS	П
3.1	VISION, MISSION AND GUIDING PRINCIPLES /CORE VALUES	11
3.2	KEY RESULT AREAS AND STRATEGIC OBJECTIVES	12
4.0	IMPLEMENTATION AND COORDINATION FRAMEWORK	15
4.1	ROLE OF GOVERNMENT (MINISTRIES/SECTORS, INSTITUTIONS, AGENCIES, RESEARCH AND	
	ACADEMIC INSTITUTIONS)	16
4.2	ROLES OF PRIVATE SECTOR AND NON-STATE ACTOR	16
4.3	ROLES OF REGIONAL BODIES	16
5.0	IMPLEMENTATION MONITORING AND EVALUATION	17
5.1	MONITORING AND EVALUATION INSTITUTIONAL FRAMEWORK	17
5.2	MONITORING AND EVALUATION REPORTING	18
6.0	CONCLUSION	18
7.0	RECOMMENDATIONS	19
	BIBLIOGRAPHY	20

ACRONYMS AND ABBREVIATIONS

AU African Union

AU-IBAR African Union Inter-African Bureau for Animal Resources

CoE Centre of Excellence

EAC East African Community

EIA **Environmental Impact Assessment**

GDP Gross Domestic Product KPI Key Performance Indicator

GIZ German Agency for International Cooperation GIZ-German Agency for International Cooperation

IDA International Development Association

IUCN International Union for Conservation of Nature

IWRM Integrated Water Resources Management

LCBC Lake Chad Basin Commission

LVFO Lake Victoria Fisheries Organization **LVBC** Lake Victoria Basin Commission MCS Monitoring Control and Surveillance **MDAs** Ministries Departments and Agencies

M&E Monitoring and Evaluation

NGO Non-Governmental Organization

PESTEL Political, Economic, Social, Technological, Environmental and Legal

RBOs Regional Basin Organizations

RFBs Regional Fisheries Bodies

SIDA Swedish International Development Cooperation Agency

SWOT Strength Weaknesses Opportunities and Threats

EXECUTIVE SUMMARY

Transboundary freshwater basin accounts for about 60% of Global freshwater flow and which affects more than 150 Countries, covers 46% of the World's land area and serves about 42% of the World's population. Of all the Continents, the African Continent has the largest number of transboundary basins and these support a population of over 800 million people. Poorly managed transboundary water supplies are projected to be potential sources of conflict and social strife among Countries. Their management is thought to be more complex than freshwater basins at the National level since the water management regime, priorities and cultures usually differ more between than within Countries. Transboundary management of water resources, therefore, require coordination across different political, legal, institutional, and technical settings. The study assesses transboundary freshwater ecosystems in the Africa Region to identify critical aquatic environmental issues affecting biodiversity and presents a framework for management of transboundary freshwater aquatic ecosystems for conservation and joint action plans.

Prior to development of this Policy Guideline, a study was commissioned by AU-IBAR to generate a general overview of transboundary freshwater bodies in Africa to identify critical aquatic environmental issues affecting biodiversity. The study/consultancy, conducted by Dr. Ruby Asmah, Dayspring Consult Ltd, Accra, Ghana, was undertaken through literature search and stakeholder engagements with Regional Economic Communities (RECs), Regional Basin Organizations (RBOs), Regional Fisheries Bodies (RFBs), Biodiversity and Freshwater Basin Organizations. The study proposed Regional Protocols and Strategies for the conservation of aquatic biodiversity and joint action plans in identified shared freshwater ecosystems for Regional Protocols in aquatic biodiversity conservation and environment. Key environmental stressors identified from the survey results were Illegal, Unreported and Unregulated (IUU) fishing, changing climate, over-exploitation of freshwater resources, pollution from point and non-point sources, destruction of habitats, invasion of exotic species and emergence of diseases that affect freshwater biota especially fish among others.

This Policy Guidelines and Regulatory Frameworks for the consolidated National Strategy on conservation of aquatic biodiversity and environmental management was developed through constitution of a task team composed of 2 members namely Technical and Ground Facilitator from LVFO and LVFO Desk Officer from the Republic of Uganda, Kenya and United Republic of Tanzania backed by the AU-IBAR Institutional Policy and Legal Expert. The team reviewed relevant National Instruments to identify existing gaps. The process was participatory through conduct of a Regional stakeholder consultative workshop, including group discussions with a broad range of key relevant Blue Economy line Ministries, Departments and Agencies i.e., water, petroleum (gas and oil), fisheries, aquaculture, wildlife, tourism among others.

The consultation results agreed with the earlier study by AU-IBAR on key environmental stressors as Illegal Unreported and Unregulated (IUU) fishing, changing climate, over-exploitation of freshwater resources, pollution from point and non-point sources, destruction of habitats, invasion of exotic species and emergence of diseases that affect freshwater biota especially fish among others. The study identified various legal and Policy documents with strategies for biodiversity conservation and environmental protection.

ACKNOWLEDGEMENT

The Directorate of Fisheries Resources, appreciates the coordination by LVFO and support from AU-IBAR through the project funded with support from the Swedish International Development Cooperation Agency (SIDA) for supporting the development of this Policy Guidelines and Regulatory Frameworks for the consolidated National Strategy on conservation of aquatic biodiversity and environmental management in Lake Victoria Region.

1.0 INTRODUCTION

1.1 **BACKGROUND**

Globally, transboundary freshwater basin accounts for about 60% of Global freshwater flow; affects more than 150 Countries; covers 46% of the World's land and serves about 42% of the World's population. Of all the Continents, the African Continent has the largest number of transboundary basins and these support a population of over 800 million people. Poorly managed transboundary resources can be a potential source of conflict and social strife among Countries and yet their management is thought to be more complex than freshwater basins at the National level since the water management regime, priorities and cultures usually differ more between than within Countries. Transboundary management of water resources, therefore, requires coordination across different political, legal, institutional, and technical settings.

Lake Victoria and its basin are highly valuable Regional and Internationally resource and is the 2nd largest Lake in the World & largest in Africa (68,800 km²), shared between Kenya, Tanzania, and Uganda with its catchment of 194,000 km² extending to Burundi & Rwanda. The Lake has at least 10 major Rivers, 7 large towns/cities along shore & 9 in basin. The Lake basin has great water uses mainly, agriculture, industry, domestic fishery, and hydropower generation among others.

Despite Lake Victoria basin harbouring a large and very productive fish stock that can generate high catches and good incomes on a sustainable basis, the fish stocks continue to decline mainly because the fishery is characterized by excessive fishing effort leading to depressed fish stocks hence low net incomes for the inhabitants of fishing communities. The existing transboundary fisheries management regime may be inducing detrimental competition for harvest resulting in overexploitation of the fish stocks, excessive fishing effort and fishing costs that depress the net incomes from the fishery and hence, combined with environmental degradation cannot promote sustainable conservation of the available aquatic resources.

Consequently, important aquatic resources are becoming increasingly susceptible to both natural and artificial environmental changes. Hence conservation strategies to protect and conserve aquatic life are necessary to maintain the balance of nature and support the availability of resources for future generations. The need to strengthen capacity of AU Member States and Regional institutions for protection and sustainable exploitation of living resources within their Exclusive Economic Zones (EEZs) is identified as priority in conservation of aquatic biodiversity to ensure sustainable contribution to food security, livelihoods, and wealth creation.

It is high time that effective action is taken to reduce biodiversity loss and ecosystem degradation, and long-term ecosystems functioning if the EAC community is to maintain a rich biodiversity with secured and contribution of biodiversity and other ecosystem services to the well-being and economic prosperity of the people guaranteed. To achieve this deliberate capacity building, knowledge management/sharing, sustainable funding and mainstreaming biodiversity across Government and society, and involvement of all stakeholders is very critical.

This Policy Guideline is in the context of the African Blue Economy Strategy (ABES) aimed at addressing some of the challenges and for the AU-Member States to sustainably harness the resources of aquatic ecosystems. The ABES envisioned an inclusive and sustainable blue economy that significantly contributes to Africa's transformation and growth. The Strategy incorporates key critical vectors for promoting Blue Economy development of the Continent, including fisheries, aquaculture, and ecosystem biodiversity conservation; shipping, maritime safety and trade; climate change mitigation and environmental sustainability and ecotourism; sustainable energy and extractive mineral resources; governance, institutions and job creation.

The objective of the ABES is to guide the development of an inclusive and sustainable blue economy that becomes a significant contributor to Continental transformation and growth, through advancing knowledge on marine and aquatic biotechnology, environmental sustainability, marine ecosystem utilization, conservation and carbon sequestration, the growth of an Africa-wide shipping industry, the development of Sea, River and Lake transport, the management of fishing activities on these water bodies, and the exploitation and beneficiation of deep Sea mineral and other marine resources.

The ABES is consolidated based on the following five thematic technical areas:

- a) Fisheries, aquaculture, conservation and sustainable aquatic ecosystems;
- b) Shipping/transportation, trade, ports, maritime security, safety and enforcement;
- c) Coastal and maritime tourism, climate change, resilience, marine ecosystem, environment, infrastructure;
- d) Sustainable energy and mineral resources and innovative industries; and,
- e) Policies, Institutional and governance, employment, job creation and poverty eradication, innovative financing.

Accordingly, AU IBAR with support from the Swedish International Development Cooperation Agency (SIDA), is implementing a 3-year project on "Conserving Aquatic Biodiversity in African Blue Economy" whose overall objective is to enhance the Policy environment, Regulatory Frameworks and Institutional capacities of AU Member States and Regional Economic Communities to sustainably utilize and conserve aquatic biodiversity and ecosystems.

1.2 SECTOR CHALLENGES

World over, shared ecosystems face major threats, which include depletion of natural resources due to the rising population pressure, expansion in human activities, over-exploitation, unsustainable agricultural practices, over-fishing, pollution, rampant conversion, and destruction of wetlands ecosystems (Yeleliere, et al., 2018). Lake Victoria and its River basins, face major environmental challenges that are a threat to sustainability of freshwater resources and biodiversity conservation in East African Community. The challenges are related to changing climate (Climate variability and change affect catchment environment), over-exploitation of freshwater resources, water pollution, flow modification (water obstruction and reduced flows), destruction or degradation of habitats and invasion of exotic/alien species (animals and plants) and emergence of diseases that affect freshwater biota especially fish. It is already indicated that over 200 indigenous species are facing possible extinction due to overfishing, introduction of Nile perch

and eutrophication.

Poorly managed transboundary water supplies are projected to be potential sources of conflict and social strife among Countries. Transboundary water management is likely to be more complex than that at the National level since the water management regime, priorities and cultures usually differ more between than within Countries. Transboundary management of water resources therefore requires coordination across different political, legal, institutional, and technical settings (UNEP 2016).

ROLE AND RATIONALE 1.3

The above-named threats coupled with weak, sectoral based and co-ordination, if not well managed, may have significant negative ecological, environmental, and social impacts. This complicates management and development of these resources. Meeting the increasing demand will be further hampered by reduced water availability, due to unsustainable use, pollution, and climate change. People living in poverty, especially women and girls are disproportionately affected by water stress through reduced access to water and unstable energy and food prices. These pose a major threat to sustainability of aquatic ecosystems, biodiversity management, and conservation of aquatic resources and fair distribution of water resources in Africa.

1.4 METHODOLOGY AND APPROACH - DEVELOPMENT PROCESSES OF THE STRATEGY

This Policy Guideline and Regulatory Framework was developed starting with conducting of a comprehensive study commissioned by AU-IBAR that generated a general overview of transboundary freshwater bodies in Africa and identified critical aquatic environmental issues affecting biodiversity. The study was achieved through literature search and stakeholder engagements including Regional Economic Communities (RECs), Regional Basin Organizations (RBOs), Regional Fisheries Bodies (RFBs) and Biodiversity and Freshwater Basin Organizations.

To have a clear perspective of Lake Victoria basin, in-depth review of the AU-IBAR study was done to help in developing a framework for management of transboundary freshwater aquatic ecosystems for conservation and joint action plans. As part of the process a collaborative arrangement between AU-IBAR and LVFO was initiated, and a team that included I Technical facilitator, and I ground facilitator from LVFO Secretariat plus the LVFO Desk Officer from the United Republic of Tanzania was constituted.

The main elements of the Terms of Reference included:

- a) Identification of relevant Ministries Department and Agencies (MDAs) that have mandate on environmental management and aquatic biodiversity conservation, including fisheries, aquaculture, environment, water management and transport, coastal tourism development, mining, oil and gas exploration;
- b) Identification and sourcing for National Instruments relevant to aquatic biodiversity conservation and environment management;

- c) Conduct reviews on the identified Instruments, identify gaps vis a visa the study report on "Assessment of transboundary environmental issues affecting biodiversity conservation in selected shared freshwater ecosystems - towards formulating harmonized regional framework for conservation of aquatic biodiversity and joint action plan";
- d) Developing Policy Guidelines and Regulatory Frameworks for a consolidated National Strategy on conservation of aquatic biodiversity and environment management in line with the transboundary consultancy study report; and,
- e) Supporting the convening, participation of stakeholders and facilitation of a Regional workshop including comprehensive reporting.

The National stakeholder consultations were also conducted in line with the MDAs including water, fisheries, aquaculture, fisheries, mining, shipping, tourism, oil, gas, mining and wildlife among others culminating into a Regional workshop held in Kampala Uganda to among others:

- a) To roll-out the joint Management Plans and Protocols and the Harmonized Regional Frameworks for conserving aquatic biodiversity for EAC;
- b) Enhancing awareness on the importance of conserving of aquatic biodiversity in African Blue Economy, and;
- c) Enhance awareness among EAC, AU Member States on priority issues for conservation of aquatic biodiversity, climate Change Mitigation and Adaptation and environment management.

2.0 SITUATIONAL ANALYSIS

This section reviews the existing legal and institutional frameworks with relevance to biodiversity conservation and environmental management in the LakeVictoria Region. It evaluates the impacts, considers a SWOT, PESTELE and stakeholder analysis in terms of expectations and envisaged benefits.

2.1 REVIEW OF EXISTING STRATEGIES

2.1.1 EAST AFRICAN COMMUNITY LEVEL

There are several Policy Guidelines and Regulatory Frameworks on transboundary cooperation in environmental management and climate change mitigation and adaptation for conservation of aquatic biodiversity in the Lake basin and the EAC. The existing transboundary freshwater basin management Policies, initiatives and frameworks are intended to assist stakeholders and managers to implement Policies at the Regional, National, and transboundary level while addressing societal challenges and simultaneously providing human well-being and biodiversity benefits.

2.1.2 TREATY FOR THE ESTABLISHMENT OF THE EAST AFRICA COMMUNITY, 1999

This was established by the East African Community as the Regional intergovernmental organization of the Republics of Kenya, Uganda, the United Republic of Tanzania, Republic of Burundi, and Republic of Rwanda with its headquarters in Arusha, Tanzania. According to the Treaty for the Establishment of the East African Community (1999), it aims at widening and deepening co-operation among the Partner States and other Regional Economic Communities, political and social fields for their mutual benefit.

The objective of the Treaty is to ensure sustainability of natural resources of Member States and to promote the sustainable utilization of natural resources like wetlands, Lakes forests and other aquatic and terrestrial ecosystems (East African Community, 1999). The East African Court of Justice is established under this Treaty as the judicial body of the community and is mandated with ensuring the adherence to Law in the interpretation and application of and compliance with the Treaty. The East Africa Community Treaty was signed on 30th November, 1999 in Arusha, Tanzania by the Heads of its five Member States -Burundi, Rwanda, Uganda, Kenya, and Tanzania – who are referred to in the Treaty as Partner States of the Community. The EAC has since grown to include South Sudan and DRC.

2.1.3 THE PROTOCOL ON ENVIRONMENT AND NATURAL RESOURCES MANAGEMENT

A report on the East African Community (2006), suggests that this Protocol was instituted to ensure cooperation among Partner States in the management of the environment and natural resources in their jurisdiction. The governance and management of transboundary resources are well defined in this Protocol. The Protocol is currently not in force and hence not a legally binding document pending ratification by all Partner States.

2.1.4 THE EAST AFRICAN COMMUNITY (EAC) REGIONAL ENVIRONMENT IMPACT ASSESSMENT GUIDELINES FOR SHARED ECOSYSTEMS

Guidelines from East African Community assessment, (2006) are for application of environmentally sound approaches in the management as well as ensuring the sustainability and biophysical integrity of shared ecosystems within the East African Region. These guidelines provide procedures for conducting transboundary environmental assessment in shared ecosystems in East Africa and the roles for the key stakeholders and players during the implementation of the Transboundary Environment Assessment process in the Partner States.

2.1.5. LAKE VICTORIA FISHERIES ORGANIZATION (LVFO)

The LVFO is a specialized East African Community Institution with contracting Parties being the Republics of Kenya, Tanzania, Burundi, and Uganda and established by a Convention in 1994 and registered by FAO as a Regional Fisheries Management Organization. Its main aim is to harmonize, develop and adopt conservation and management measures for the sustainable utilization of fisheries and aquaculture resources of Lake Victoria while optimizing the socio-economic benefits from the basin for the four Partner States (LVFO Convention 1994 Amended on 12th November, 1998 and on 29th January, 2016). The Convention states that LVFO guides, supports, and implements the building of the capacity of communities to participate in management and is making a real difference to their lives. The amendment of 2016 expanded the scope and mandate of the organization to cover all water bodies in EAC. The LVFO has developed harmonized guidelines, strategies and management plans for sustainable management and conservation of the fisheries resources including the LVFO Regional Plan of Action (RPOA) for the Management of Fishing Capacity in Lake Victoria whose purpose is to sustain the fisheries resource base for optimal economic growth, poverty reduction, food security, foreign exchange earnings, employment, gender equity and improved standards of living among fisheries dependent communities.

The Parties to this Regional Plan of Action (RPOA) on the Management of Fishing Capacity on Lake Victoria are the Governments of the Republic of Kenya, the United Republic of Tanzania and the Republic of Uganda which are the Contracting Parties of the Lake Victoria Fisheries Organization (LVFO). Its implementation calls for commitment of all key stakeholders - especially, the BMUs; Local Authorities; fish traders, Industrial processors and exporters, fish factory supply agents; Civil Society and Central Government. All the stakeholders must work together to achieve optimum fishing capacity corresponding to the sustainable fisheries resources.

2.1.6 LAKE VICTORIA BASIN COMMISSION (LVBC)

The LVBC is an institution of the EAC established through the Protocol for Sustainable Development of the Lake Victoria Basin (the "LVBC Protocol"), which was signed on 29th November, 2003 and ratified in December, 2004 and is responsible for coordinating the sustainable development agenda of the Lake Victoria Basin. Its broad functions are "to promote, facilitate and coordinate activities of different actors towards sustainable development and poverty eradication of the Lake Victoria Basin". The Member States include; Kenya, Uganda, Tanzania, Rwanda and Burundi. Its main include; Harmonization of Policies, Laws, Regulations and Standards; - Promotion of stakeholders' participation in the sustainable development of natural resources; - Guidance on implementing sectoral projects and programs; - Promotion of capacity building and institutional development; - Promotion of security and safety on Lake Victoria; - Promotion of research and development; - Monitoring, evaluation and compliance with Policies and agreed upon actions; - Preparation and harmonization of the Member States' negotiating positions against any other State on matters concerning the Lake Victoria Basin; - Receipt and consideration of reports from the Member States' institutions on their activities relating to the management of the Basin under the LVBC Protocol; - Initiation and promotion of programs that target poverty eradication; and - Performance of any other functions that many be conferred upon the LVBC under the LVBC Protocol.

2.1.7 REVIEW OF NATIONAL POLICY GUIDELINES AND REGULATORY FRAMEWORKS ON AQUATIC BIODIVERSITY CONSERVATION AND ENVIRONMENTAL MANAGEMENT

The following Policies were reviewed, key provisions synthesized with respect to conserving of aquatic biodiversity and environment in Lake Victoria:

- a) National Fisheries and Aquaculture Policy, (2018);
- b) Fisheries and Aquaculture Act, (2023);
- c) Fish (Fishing) Rules, (2010);
- d) Fish Quality Assurance Rules, (2017);
- e) National Environment Act, (2019);
- f) Uganda Wildlife Act, (2019);
- g) Mining Act, (2019);
- h) Water Act, Cap. 152 (1997);
- i) National Forestry and Tree Planting Act, 8 (2003);
- j) National Environment (Audit) Regulation, (2020);
- k) National Environment (Management of Ozone Depleting Substances and products) Regulation No.48 (2020);
- I) National Environment (Waste Management) Regulation No.49 (2020);

- m) Petroleum (Waste Management) Regulation No 3. (2019);
- n) National Environment (Mt & Hilly areas mgt) Regulation 152-6 (2000);
- o) National Environment (Wetlands, Riverbanks and Lake Shore management) 153-5 (2000); National Environment (Standards for discharge of Effluent into water or land) Reg. (2020); National Environment (Oil Spill Prevention, Preparedness and Response (2020);
- p) National Environment (Environmental and Socio-economic Assessment) SI No 143 (2020); and,
- q) Guideline for Management of Landfills in Uganda and Strategic Environment Assessment (2020).

2.1.8 IDENTIFICATION OF NATIONAL PRIORITY ISSUES, CHALLENGES AND POLICY OPTIONS FOR ENHANCED CONSERVATION OF AQUATIC BIODIVERSITY AND ENVIRONMENT MANAGEMENT INN SHARED FRESHWATER ECOSYSTEMS

The National Policy issues, challenges and needed Policy options were elaborated under key result areas namely: Environmental sustainability, ecological and Regional cooperation. The details are elaborated in section 3.2.2. Key findings were that conservation aspects in areas of protection of non-biological fisheries organism such as crustaceans evident. Sand mining was destroying fish breeding areas and other critical nursery areas pausing a threat biodiversity conservation. Gender disparity existed in implementation of conservation measures requiring attention for improving biodiversity conservation and environmental management. Limited ecotourism and increasing pollution and climate change were areas of concern.

ANALYSIS OF ISSUES IN AQUATIC BIODIVERSITY CONSERVATION AND 2.2 ENVIRONMENTAL MANAGEMENT

2.2. I STRENGTHS, WEAKNESSES, OPPORTUNITIES AND THREATS (SWOT) ANALYSIS

Strength

- a) Rich biodiversity: Uganda is home to a diverse range of ecosystems and species, including the endangered mountain gorilla.
- b) Strong conservation Policies: Uganda has established several Laws and Regulations to protect its environment and wildlife, including the National Environment Act, of 2019 and the Wildlife Act, of 2019.
- c) Strong institutional framework: Uganda has a welldeveloped institutional framework for conservation and environmental management, including the Uganda Wildlife Authority and the National Environmental Management Authority.
- d) Ecotourism revenue: Uganda generates significant revenue from ecotourism, which provides an economic incentive for the conservation of its natural resources.

Weaknesses

- Illegal wildlife trade: Uganda has been identified as a significant transit point for illegal wildlife trade, which threatens the conservation of many species.
- Weak law enforcement: Despite the existence of strong conservation policies, enforcement is often weak due to limited resources and corruption.
- Population growth: Uganda's rapidly growing population increases pressure on natural resources, particularly in areas where there is high population density.
- Deforestation: Deforestation is a significant threat to Uganda's biodiversity and ecosystems, particularly in areas where forests are being cleared for agriculture and fuelwood.

Opportunities

- a) Sustainable agriculture: Uganda has significant potential for sustainable agriculture, which can reduce pressure on natural resources and improve food security.
- Renewable energy: Uganda has significant potential for renewable energy, including solar and hydropower, which can reduce reliance on fossil fuels and mitigate the impacts of climate change.
- International cooperation: Uganda can benefit from international cooperation and support for biodiversity conservation and environmental management, including funding and technical assistance.
- Community involvement: Involving local communities in conservation efforts can provide economic benefits and promote sustainable resource use.

Threats

- Climate change: Climate change is a significant threat to Uganda's biodiversity and ecosystems, with rising temperatures and changing rainfall patterns affecting many species and ecosystems.
- Habitat loss and fragmentation: Habitat loss and fragmentation due to human activities, such as deforestation and agricultural expansion, threaten many species in Uganda.
- c) Invasive species: Invasive species, such as water hyacinth and the Nile perch, threaten native species and disrupt ecosystems in Uganda's water bodies.
- Pollution: Pollution from industrial activities and agricultural runoff can harm wildlife and ecosystems in Uganda, particularly in urban areas.

2.2.2 POLITICAL, ECONOMIC, SOCIAL, TECHNOLOGICAL, ENVIRONMENTAL AND LEGAL (PESTEL) ANALYSIS

Political

- Political stability: Uganda has experienced relative political stability in recent years, which has created a favorable environment for business and investment.
- Government Policies: The Ugandan Government has implemented Policies aimed at promoting economic growth, including industrialization, infrastructure development, and increasing foreign investment.
- Corruption: Corruption is a significant issue in Uganda, and it can hinder economic development and undermine public trust in the Government.

Economic

- Natural resources: Uganda has significant natural resources, including minerals, oil and gas, and wildlife, which provide opportunities for economic growth.
- Agriculture: Agriculture is the mainstay b) of Uganda's economy, accounting for a significant portion of the Country's GDP and providing employment for a large percentage of the population.
- Foreign investment: Uganda has attracted significant foreign investment, particularly in the oil and gas sector, which has contributed to economic growth.

- Population growth: Uganda's population is growing rapidly, which can strain social services and infrastructure.
- Education: Uganda has made progress in increasing access to education, but there are still significant disparities between urban and rural areas and between genders.
- Healthcare: Uganda has made progress in improving healthcare, but there are still significant challenges, including inadequate facilities and limited access to healthcare in rural areas.

Technological

- a) Mobile technology: Uganda has experienced rapid growth in mobile technology, with increasing access to mobile phones and the internet.
- b) Innovation: Uganda has a growing innovation ecosystem, with a focus on digital technology and entrepreneurship.
- c) Infrastructure: Uganda has made significant investments in infrastructure, including roads, railways, and airports, which has improved connectivity and access to markets.

Environmental

- Biodiversity: Uganda is home to a diverse range of ecosystems and species, but it is threatened by habitat loss, poaching, and climate change.
- Natural disasters: Uganda is vulnerable to natural disasters, including floods and droughts, which can cause significant economic and social impacts.
- c) Renewable energy: Uganda has significant potential for renewable energy, including solar and hydropower, which can reduce reliance on fossil fuels and mitigate the impacts of climate change.

Legal

- Business Regulations: Uganda has implemented Policies aimed at promoting foreign investment, including simplifying business registration and licensing processes.
- Environmental Regulations: Uganda has established several Laws and Regulations to protect its environment and wildlife, including the National Environment Act, of 2019 and the Wildlife Act, of 2019.
- Labor Laws: Uganda has labor Laws aimed at protecting workers' rights, but there are still challenges, including inadequate enforcement and exploitation of migrant workers.
- d) Business Regulations: Uganda has implemented Policies aimed at promoting foreign investment, including simplifying business registration and licensing processes.
- e) Environmental Regulations: Uganda has established several Laws and Regulations to protect its environment and wildlife, including the National Environment Act, of 2019 and the Wildlife Act, of 2019.
- Labor Laws: Uganda has labor Laws aimed at protecting workers' rights, but there are still challenges, including inadequate enforcement and exploitation of migrant workers.

2.2.3 STAKEHOLDER ANALYSIS

Stakeholder analysis is a tool used to identify and assess the interests, expectations, and potential impact of different stakeholders involved in a particular issue or project. In the case of biodiversity conservation and environmental protection in Uganda, the following are the key stakeholders and their expectations and benefits. Overall, stakeholders in Uganda have a shared interest in protecting the environment and conserving biodiversity, but may have differing expectations and concerns about the methods and impact of conservation efforts. Effective stakeholder engagement and communication can help to address these concerns and ensure that all stakeholders benefit from biodiversity conservation and environmental protection in Tanzania

Stakeholder	Expectation	Benefit
Ministries, Departments and Agencies	Increased funding, support from other stakeholders, and effective implementation of Policies and Regulations.	Benefits for Government Agencies include fulfilling their mandate to protect the environment and promote sustainable development, as well as attracting tourists to generate revenue for the Country

Stakeholder	Expectation	Benefit
Local communities	Greater involvement in decision-making processes, access to information and education, and fair compensation for their contributions.	Benefit from biodiversity conservation and environmental protection by preserving their cultural heritage, improving their livelihoods through sustainable use of natural resources, and reducing the negative impacts of climate change
Non-Governmental organizations (NGOs)	Protecting biodiversity and the environment by fulfilling their mission and improving the livelihoods of local communities	Greater collaboration with Government agencies and the private sector, access to funding, and stronger Legal Frameworks to protect the environment.
Tour operators	Increased marketing efforts to promote eco-tourism, access to protected areas, and favorable Policies and regulations that support sustainable tourism.	Biodiversity conservation and environmental protection by attracting tourists who are interested in sustainable and responsible travel.
Private sector:	include access to incentives and financing for eco- friendly investments, favorable Policies and Regula- tions that support sustainable development, and greater collaboration with other stakeholders	Companies that operate in industries that impact the environment, such as agriculture or energy, benefit from biodiversity conservation and environmental protection by enhancing their reputation, reducing risks, and promoting sustainable business practices.
Researchers and scientists	access to funding and resources, opportunities for collaboration with other stakeholders, and greater recognition for their contributions	benefit from biodiversity conservation and envi- ronmental protection by generating new knowledge and contributing to the development of solutions for environmental challenges
International organizations/ Donor agencies:	greater coordination with other donors and stake-holders, access to reliable data and information, and accountability for the use of their funds.	biodiversity conservation and environmental protection by fulfilling their mission to support sustainable develop- ment and poverty reduction

NATIONAL STRATEGIC MODEL FOR AQUATIC BIODIVERSITY 3.0 CONSERVATION AND ENVIRONMENTAL MANAGEMENT IN SHARED FRESHWATER ECOSYSTEMS

VISION, MISSION AND GUIDING PRINCIPLES /CORE VALUES 3.1

3.1.1 **VISION**:

A Sustainable Aquatic Biodiversity with Improved Livelihood in the Lake Victoria Region

3.1.2 MISSION:

To reduce aquatic biodiversity losses for enhanced livelihoods in the Lake Victoria Region

3.1.3 GUIDING PRINCIPLES /CORE VALUES

- a) Participatory Approach Implemented to serve all categories of stakeholder's, public, private, women, youth and other non-state actors in the enhancement of biodiversity conservation and environmental management in the Lake Victoria basin;
- b) Social and Equity Beneficiary targeting will be based on the principles of equity and fairness with active participation of women, youth, orphans, disabled and any other vulnerable groups. There will be equitable benefits sharing, opportunity for representation and decision-making processes in a nondiscriminatory manner;
- c) User Pays User Pays Principle Those who benefit from or use natural resources as fishers, fish farmers, miners, extractors etc., must contribute to the cost of managing the resource which will be levied as user charges by appointed authorities in line MDAs;
- d) Transparency and Accountability: The strategy will be implemented in such a way that it is easy for others to see what actions are performed with intentional sharing of information. Accountability to the political and administrative systems in addition to client communities will be ensured;
- e) The Precautionary Principle: Fisheries and aquaculture management shall be premised on scientific evidence as it applies to the Ugandan context and the lack thereof should never be premise for failure to act in face of risk of serious or irreversible harm to fish stocks and or habitants;
- f) Sustainable Development: The strategy seeks to ensure that the management and development of fisheries and Aquaculture sector takes into account concepts of both inter and intra-generational equity. The policy will ensure sustainable exploitation of fisheries resources while maintaining fish availability for both present and future generations, and without degrading the environment;
- g) Human Rights and Inclusiveness: The strategy recognizes access to food, legal access to resources and equitable allocation of fishing rights as fundamental rights contributing to improved human well-being, and;
- h) Collaboration and Partnership: The private sector shall be seen as complementary to the public sector in terms of developing the fisheries and aquaculture sub-sector.

KEY RESULT AREAS AND STRATEGIC OBJECTIVES 3.2

- a) Environmental sustainability;
- b) Ecological sustainability;
- c) Infrastructural and human capacity; and,
- d) Enabling environment for freshwater biodiversity conservation development.

3.2.1 STRATEGIC GOALS AND ACTIONS

- a) To improve knowledge and understanding of the concepts of climate change and how it impacts life in the aquatic ecosystem;
- b) To reduce vulnerability to climate change and institute mitigation measures;
- c) To improve water quality and reduce discharge of untreated waste materials and enhance data collection;
- d) To manage and protect freshwater aquatic environments to minimize deleterious effects of any water and land use practice which might adversely affect aquatic habitats;
- e) To secure and maintain the habitat conditions necessary to protect significant species, groups of species, biotic communities, or physical features of the environment where these require specific;
- To minimize invasion of aquatic exotic species;
- g) To minimize Illegal, Unreported and Unregulated (IUU) fishing of aquatic living resources that contravenes National, Regional or International Laws and Frameworks;
- h) To maintain a sustainable and healthy aquatic freshwater basin and direct cross cutting aquatic biodiversity related health and conservation challenges (on biodiversity and resource users), and;
- To harmonize Laws and Regulations for all Institutions in biodiversity conservation.

3.2.2 PROPOSED MANAGEMENT STRATEGIES FOR CONSERVATION OF AQUATIC **BIODIVERSITY**

Key Result area	Strategic Objectives	Priority Actions	Outcomes/Deliverable	Targets
Enhanced environmental sustainability	Improvement of knowledge and understanding of the concepts of climate change and how it impacts life in the	Conduct massive awareness and publicity on issues of climate change	Increased awareness and public information on climate change and its impacts	At least 60% of the lake dependent communities aware of climate change and its impacts
	aquatic ecosystem.	Promote strict enforcement mechanism for environmental laws	Promote strict enforcement mechanism for environmental laws	Implementation of strict enforcement mechanism for environmental laws in 6 MDAs
	Reduce vulnerability to climate change and institute mitigation measures	Promote technologies that reduce or mitigate climate change	Reduced vulnerability to climate change	At least 6 Blue Economy MDAs implementing technologies that reduce or mitigate climate change
	Improve water quality and reduce discharge of untreated waste materials and enhance data collection	Promote cleaner production and data collection technologies	Improved water quality and reduced discharge of untreated waste materials Data on water quality and discharge of untreated waste	Best cleaner production and data collection technologies in atleast 6 Blue Economy MDAs

Key Result area	Strategic Objectives	Priority Actions	Outcomes/Deliverable	Targets
Ecological	Manage and protect freshwater aquatic environments to minimize deleterious effects of any water and land use practice which might adversely affect aquatic habitats	Continuous monitoring and surveillance on the lake and in catchment Strengthening the capacity of enforcement institutions to manage and protect fresh water aquatic environments Promote responsible and sustainable fish farming practices Protect critical fish breeding and nursery areas including other biodiversity hotspots Create awareness on best water and land use practice Gazette, mark and protect critical biodiversity hotspots Restoration programs	Improved compliance on the lake and in catchment in regard to water and land use practices Enhanced institutional capacities to manage and protect fresh water aquatic environments Fish farms practicing best farming practices Enhanced fish breeding and improved biodiversity indices Increased awareness and compliance to on best water and land use practice Ecosystems retorted and sustaining aquatic biodiversity	At least 50% of lake users and in catchment practicing best water and land use practices Institutional capacity enhanced to manage and protect fresh water aquatic environments in at least 6 MDAs At least 60% of fish farms practicing best farming practices Enhanced fish breeding and improved biodiversity indices in at least 20 hotspots Increased awareness and compliance in at least 100 communities Ecosystems retorted and aquatic biodiversity and sustained
	Minimize Illegal, unreported and unregulated (IUU) fishing of aquatic living resources that contravenes national, regional or international laws and frameworks	Promote use of high technology systems for monitoring activities within the basin	Modern monitoring technologies in use for monitoring activities within the basin	At least 6 modern monitoring technologies in use by the MDAs

Key Result area	Strategic Objectives	Priority Actions	Outcomes/Deliverable	Targets
	Minimize invasion of aquatic exotic species	Monitor the abundancy and distribution of invasive weed including impacts Undertake control of invasive weed using the environmentally friendly technologies	Abundancy and distribution maps for invasive weed species Reduced infestations of invasive weed to manageable levels	Abundancy and distribution maps for invasive weed species in at least 60 % of the lake shoreline
Infrastructural and human capacity development	Maintain a sustainable and healthy aquatic freshwater basin and direct cross cutting aquatic biodiversity related health and conservation challenges (on biodiversity and resource users)	Development and implementation of settlement plans to address issues of urbanization	Settlement plans for key urban centers in the catchment	Settlement plans for at least 60 urban centers in the catchment
Enabling environment for freshwater biodiversity conservation	Harmonize laws and regulations for all Institutions in biodiversity conservation	Develop, review and establish a uniform regional legal and institutional Framework for aquatic biodiversity conservation and ecosystem management of the Lake Victoria Basin; Harmonize policies and strategies for biodiversity conservation Enhance stakeholder engagement and consultation on best biodiversity conservation practices Increasing awareness on policies, Laws and Regulations governing biodiversity conservation Strengthen Enforcement	Regional legal and institutional Frameworks for aquatic biodiversity conservation and ecosystem management of the Lake Victoria Basin; Harmonize policies and strategies for biodiversity conservation Enhance stakeholder engagement and consultation on best biodiversity conservation practices Increasing awareness on policies, laws and regulations governing biodiversity conservation Strengthen Enforcement	At least 5 legal and institutional framworks for aquatic biodiversity conservation and ecosystem management Harmonize policies and strategies for biodiversity conservation in 6 MDAs

Key Result area	Strategic Objectives	Priority Actions	Outcomes/Deliverable	Targets
	Maintain a sustainable and healthy aquatic freshwater basin and direct cross cutting aquatic biodiversity related health and conservation challenges on biodiversity and resource users	Promote inter-sectoral partnerships and collaborations with supportive agencies and institutions for proper coordination of aquatic biodiversity resources	Inter-sectoral partnerships and collaborations with supportive agencies and institutions for proper coordination of aquatic biodiversity resources	Inter-sectoral partnerships and collaborations with at least 6 supportive agencies and institutions

4.0 IMPLEMENTATION AND COORDINATION FRAMEWORK

This section illustrates the proposed institutional and Regulatory Framework including capacity needs within the implementing institutions. The financial resources to implement the actions and risk analysis are required but will be detailed later.

The second step is political buy in that is critical to the implementation of the relevant national instruments for aquatic biodiversity conservation and environmental management. Capacity building will be needed to strength institutions and participation in freshwater management strategies for biodiversity conservation instituting management instruments. Institutional strengthening may include development and implementation of educational and training in basin-based prioritized programs and actions. Some of the training programs may include environmental sampling, monitoring and management procedures, climate change modelling, introduction of relevant data collection tools and technologies, Policy formulation, among others by identified specialized AU Centers of Excellence (CoE) or other well-resourced institutions.

Participation may involve engaging all stakeholders, starting at the regional, to National (focal institutions) subnational, basin level and finally to local communities, to manage and protect freshwater ecosystems. In addition, there would be a need to encourage a Regional approach towards capacity-building, starting with existing partnerships and creating new ones as deemed appropriate; ensuring effective engage of private sector and other stakeholder groups involved in aquatic biodiversity conservation. In effect good communication and networking among National and Regional institutions.

A third consideration is in ensuring monitoring programmes, financial incentives (through programs like carbon sequestration) and measures to protect and restore ecosystems tool. Data is important in establishing trends in climate change, biodiversity water quality, etc. The African Union through funding agencies would have to equip National institutions, Regional bodies, working together with the AU Centres of Excellence with relevant scientific tools and monitoring equipment to facilitate long term joint aquatic environmental data collection. Data collection could be enhanced further using remote sensing and geographical information systems. An African institution with relevant capacity could be identified and given additional support to obtain data at the Continental level and create databases for easy access by relevant National and Regional institutions.

Finally, there must be scaled up financial resources for data collection and institution of biodiversity conservation management strategies

ROLE OF GOVERNMENT (MINISTRIES/SECTORS, INSTITUTIONS, AGENCIES, 4.1 RESEARCH AND ACADEMIC INSTITUTIONS)

The following are the key MDAs in the Lake Victoria basin and EAC that have mandate on environmental management and aquatic biodiversity conservation, including Ministry of Agriculture, Animal Industry and Fisheries, Ministry of Energy and Mineral Development, Ministry of Tourism, Wildlife and Antiquities-Uganda Wildlife Authority, Ministry of Justice and Constitutional Affairs, Ministry of Works and Transport, Ministry of Water and Environment among others. Overall, stakeholders in Uganda have a shared interest in protecting the environment and conserving biodiversity, but may have differing expectations and concerns about the methods and impact of conservation efforts. Effective stakeholder engagement and communication can help to address these concerns and ensure that all stakeholders benefit from biodiversity conservation and environmental protection in Uganda.

4.2 ROLES OF PRIVATE SECTOR AND NON-STATE ACTOR

The roles or Private sector include supporting management mandates, promoting biodiversity related exhibitions, promoting sustainable aquaculture technologies, strengthening measures against illegal fishing, promoting eco-friendly production and consumption methods Promote control pollution in in aquatic and terrestrial ecosystems, conducting inventory of IAS and assess and controlling land and sea-based sources of pollution

The role of Non-State actor include promoting international relations, promoting participatory fishery management, promoting eco-friendly production and consumption methods, assessing and controlling land and sea-based sources of pollution, promoting and implementing monitoring, conservation and recovery, promoting the establishment of National Red data Book (NRB) for flora and fauna and making it accessible to users, promoting programmes for endangered and threatened species and promoting use of traditional knowledge that enhances biodiversity conservation.

ROLES OF REGIONAL BODIES 4.3

There are two main regional bodies relevant to biodiversity and environmental management namely LVFO and LVBC and coordinated by EAC Secretariat. Most of the transboundary freshwater basin organizations, in the various countries serve as focal points for the Regional Organizations and managed the water resource at the national level. The roles include Promote use of traditional knowledge that enhance biodiversity conservation Conduct inventory of IAS Promote regional cooperation on management of trans-boundary water resources

IMPLEMENTATION MONITORING AND EVALUATION 5.0

5.1 MONITORING AND EVALUATION INSTITUTIONAL FRAMEWORK

The mandate of the central Government Ministries, Departments and Agencies (MDAs) as it relates to biodiversity conservation and ecosystem management will apply to this Strategy. This mandate is to 'support, promote, guide and regulate the various biodiversity and conservation sectors. That means the central Government will spearhead issues around policy formulations, reviews and development as and when necessary. The Local Governments will be supported to implement these Policies towards aquatic biodiversity conservation and ecosystem management. The MDAs will work together with the local community, private sectors and the civil society in a participatory manner to promote issues on biodiversity conservation and ecosystem management within the basin.

To enhance M&E intuitional framework MDAs will also review Policies, plans, Legislation, Guidelines, Standards and by-laws supporting fisheries and aquaculture at all levels including those developed by nonstate actors both local and International; Account to the President, Cabinet, Parliament and the Sector Working Group as well as the National Platform on Fisheries and Aquaculture on sector performance;

MDAs will work closely with Regional Organisations such as the LVFO, Lake Victoria Basin Commission (LVBC), AU to support and mobilize resources (both technical, financial and logistical) to monitor activity on water bodies and enforce standards and further develop the biodiversity sector.

Various National Ministries, Departments, Agencies will adopt harmonised institutional M&E reporting framework using existing institutional reporting models. The framework will be used to enhance understanding and reporting of the aquatic biodiversity conservation programme goals and objectives to be achieved by each and every MDAs. It will institutionally define relationships between factors key to implementation and promotes and take into consideration internal and external challenges that could affect the aquatic biodiversity conservation implementation interventions and success. The framework basically works through pragmatic strategies, objectives and planned activities and whether they are the most appropriate ones to implement by each MDAs as planned.

In order to enhance M&E intuitional framework, MDAs will identify program goals and objectives; define indicators, define data collection methods and timelines, identify M&E roles and responsibilities, create an analysis plan and reporting templates and plan for dissemination and National and Regional reporting. The framework will allow MDAs to do process monitoring, beneficiary monitoring, compliance monitoring, financial monitoring, result monitoring, initiation and planning. Coordination of these will be done by the State Department responsible for development, management and conservation of aquatic biodiversity resources including resources fisheries and aquaculture resources.

The line MDAs will have M&E reporting framework that allows gathering information from the grassroots to National central M&E unit through accountable reporting channels from respective institutions. Each institution will establish desk office for reporting purposes. The information gathered will then be shared

structurally to the National desk office domiciled within the state department for Blue Economy and fisheries.

5.2 MONITORING AND EVALUATION REPORTING

Reporting is an important part of Monitoring and evaluation of the progress of a programme and or a project. It will provide the link between data collected and analysis on the one hand and the data use on the other. The report will present information to different stakeholders namely the MDAs, local/county Government, National Government, and Regional bodies. This Policy Guideline will be disseminated in a format that is easy to use (Popular version). M&E reporting will use tools such as key performance indicators (KPIs), MDAs dash boards, check lists and M&E plans. Both Aquatic biodiversity conservation quantitative and qualitative data will be reported. The type of tool used for gathering information and reporting will depend on type of information needed, resources available and specific monitoring goals and objectives.

The M&E reporting will be done in real time, daily, weekly, monthly, quarterly, bi-annually, and annually depending on the level of the institution doing and getting the report as planned.

M&E reporting will basically involve choosing the key performance indicators (KPIs), the KPIs will also be defined, measurement of the baselines and setting the targets, identification, and allocation of responsibilities and where the results will be reported and having a well-structured template for filling the information being reported.

The final M&E reports will be presented in a National and Regional forum for validation and adoption. The Regional reporting and information sharing will follow the LVFO M&E reporting format.

CONCLUSION 6.0

Although the process to have this Policy Guideline was participatory, the solution to conservation requires dedicated research, science, technology innovation and data for making right Policy decisions. There is need to review, update and harmonize Policies and Laws to suit the current situation within the Region to speak to each other. National Border Stakeholder consultation are needed to streamline biodiversity conservation and environmental management measures in Lake Victoria Region. All MDAs and non-state actors need to establish platforms Nationally and Regionally for dissemination and understanding of biodiversity conservation and environment management. Global and National Instruments relevant to aquatic biodiversity conservation should be domesticated and popularized so that they are understood by the resource users.

Conservation of aquatic biodiversity and ecosystem of the Lake Victoria is very critical for improved livelihood and economic prosperity of the East African Region and beyond. The challenges affecting the lake such as the effects of climate change, other environmental, ecological and institutional issues must be addressed for the realization of that prosperity. This study notes that all the Policy, legal and Regulatory

Instruments do not have a popular version and also, they are not presented in languages that the public cannot easily understand them. Besides that, some of these Instruments such as those developed before 2005 may require urgent reviewing so that they in tandem with serious emerging issues such as climate change.

7.0 RECOMMENDATIONS

- a. There is need to come up with a Regional Spatial Plan for Lake Victoria as a result of increased population characterized by increased uncoordinated/unplanned development within the catchment and basin around Lake Victoria, and the effects of unprecedented climate change variability and its effect on the livelihood of humanity;
- b. There is need to benchmark the good Policy and practice on marine litter / plastic and for adoption in the strategies for biodiversity conservation and environmental management;
- c. The solution to conservation lies with research, science and technology innovation which calls for processing large volumes of data and information and the need to have a depository of data and information improving capacities for research;
- d. The need to review, update and harmonize Policies and Laws to the current situation within the Region to speak to each other for better biodiversity conservation and environmental management;
- e. The need to work together with all MDAs and non-state actors in established platforms Nationally and Regionally for dissemination and understanding biodiversity conservation and environmental management;
- f. The need to domesticate Global Instruments relevant to aquatic biodiversity conservation so that they are understood by the resource users involved in biodiversity conservation and environmental management; and,
- g. The need to involve Rwanda and Burundi in this effort considering that Lake Victoria basin extends to those Countries and biodiversity conservation and environmental management is a transboundary matter.

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African Union
Inter-African Bureau for Animal Resources (AU-IBAR)
Kenindia Business Park

Museum Hill, Westlands Road

P.O. Box 30786

00100, Nairobi, KENYA

Telephone: +254 (20) 3674 000 / 201

Fax: +254 (20) 3674 341 / 342

Website: www.au.ibar.org

Email address: ibar.office@au-ibar.org